

Cross-Border Capital Infrastructure

Corporate Account Application Form

To help us process your application as quickly as possible, it is important that this form is completed accurately.

SECTION1: Due Diligence Requirements

SECTION2: Your Business Details

SECTION3: Ownership Structure

SECTION4: Politically Exposed Person (PEP) Declaration

SECTION5: Declaration and Signature

Section 1. Due Diligence Requirements

We are legally required to identify and, where required, verify the identity of our customers (both the business entity and the individuals behind the entity including beneficial owners above a certain threshold and related parties). In order to comply with these obligations, before your account is opened, we may seek documentation or information from you or an independent source to confirm the identity of the entity and/or the individual(s). **In addition, the Directors and UBOs (Ultimate Beneficial Owner) over a certain threshold may be required to do a liveness test for verification.**

1. Original / certified copy of Certificate of Incorporation (and Certificate of Incorporation on change of name - if applicable)
 2. Memorandum / Articles of Association (or equivalent)
 3. A Director and Shareholding structure identifying the individuals who have ultimate control over the business. Even if the business is owned by several other legal entities, we will need to (unless in extenuating circumstances) go through this to reach the top.
 - If the Business is using Nominee services, we will need extra documents for the UBO in addition to the supporting documents for the Nominee.
 4. Existing Business bank statements if a bank account exists. If one cannot be provided, please provide a reason when you submit your documents.
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The documents from both Part 1 and Part 2 will be required to identify Authorised Signatories and Ultimate Beneficial Owners of the applicant.

1. Proof of Name and Date of Birth

Please provide one of the following documents to verify the identity of each Authorised Signatory.

- Certified copy of unexpired passport clearly showing its number and country of issue, your photograph, name, date of birth, signature (which must agree with the details on the application) and its expiry date.

Or

- Certified copy of unexpired National Identity Card or Driver's Licence clearly showing its number and country of issue, your photograph, name, date of birth and signature (which must agree with the details on the application) and its expiry date. Where the ID card does not bear a signature, the copy of the ID card must be signed by the Applicant in the presence of the certifier.

2. Proof of Residential Address

The name and address on the document must match the details provided on the application form.

- A copy of a bank statement. This must not be more than three months from date of receipt

Or

- A copy of a utility bill, such as gas, water, council tax, electricity, telephone (mobile phone bills are not acceptable). This must not be more than three months old at the date of submission.

Statements or utility bills bearing the account holder's address may be accepted as a PDF if issued by a UK or EU regulated institution. Should the statement bearing the account holder's address be issued by a non-UK or EU regulated institution, the statement or utility bill is to be certified.

Certification of Personal Documents

For certifications to be effective the certifier must be subject to professional rules of conduct. The certifier must be a person of reputable standing who is independent of the applicant and who has no family connection with applicant wishing to open the account.

A suitable certifier must clearly certify that:

- they have seen the original documentation which verifies identity and/or residential address;
- the copy of the document (which they certify) is a complete and accurate copy of that original; and
- the photograph on the identity documentation bears a true likeness to the individual requesting certification

Printed name of certifier

Signature of certifier

Address of certifier

Qualification/professional body of certifier

Date

The following is a list of examples of suitable certifiers:

- a member of the judiciary, senior civil servant, serving police or customs officer
- an officer of the embassy, consulate or high commission of the country or territory of issue of documentary evidence of identity
- a lawyer in practice or notary public
- an actuary or accountant who is a member of a recognised professional body
- a member of the Institute of Chartered Secretaries and Administrators or equivalent
- a director or officer of a regulated financial services business or a regulated financial services business itself

As noted above, however, the certifier must be subject to professional rules of conduct. Interpolitan Money reserves the right to request alternative or additional evidence of identity.

Please speak to us if for any reason you consider that it is unlikely you will be able to obtain a certification from any of the categories above.

Section 2. Business Details

Business Name: Company / Limited Liability Partnership

Company Registration Number

Incorporation Date

Trading Name *(if different from above)*

Country of Incorporation

Registered Office address

Correspondence address (if different from above)

Main Contact Name

Email address

Telephone No.

How did you hear about us / who referred you to us

Company Type *(LTD / Sole Trader / LLP / PLC / Trust / Foundation / Association etc)*

Please state the exchange if you are publicly listed

The industry you trade in

Website

Purpose of account opening and how the account will be used

Geographical scope of the Business

The Currencies you require

Number of Employees

Is your company a Financial Services Institution?

Financial services registration number *(if applicable)*

Is your organisation an Exempt Beneficial Owner? *(Example: A Government Entity, a Central Bank, a Pension Trust, an international organisation such as World Bank or IMF etc)*

Section 2. Business Details

Please provide as much relevant information as possible to substantiate the business's source of wealth.

Failure to complete this section fully may result in delays or prevent the opening of your account.

- Approximate net value: GBP £
- Source of Company Capital:
- Anticipated annual turnover for the next 12 months overall: GBP £
- Anticipated annual turnover for the next 12 months through Interpolitan Money: GBP £
- Total number of inbound payments every month:
- Total number of outbound payments every month:
- Total value of currency exchanges every month: GBP £
- Please provide an overview of the main inbound partners the account will be receiving money from:
- Please provide an overview of the main beneficiaries that payments will be sent to:
- Net liabilities of the company:

Section 3. Ownership Structure

THESE ARE THE NAME(S) OF THE DIRECTOR(S) / MEMBERS / BENEFICIAL OWNER / GUARANTOR AND AUTHORISED SIGNATORIES WHERE APPROPRIATE WHO MAY CARRY OUT TRANSACTIONS AND GIVE INSTRUCTIONS ON THE ACCOUNT.

Full name (including middle names) <i>(block capitals)</i>		Title	Residential Address		Director / Member?	Tel/mobile number
				<input type="checkbox"/> Yes <input type="checkbox"/> No
					Only sign if you're an Authorised Signatory
Occupation	Date of Birth / /	Male <input type="checkbox"/> Female <input type="checkbox"/>	Postcode		Are you a Beneficial owner/ Guarantor	Authorised Signatory? <i>(Please tick)</i>
				<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No
Resident <input type="checkbox"/> Non Res <input type="checkbox"/>	Country of Origin	Nationality	Time at Address?* Years _____ Months _____	Country of Residence	If yes, please state percentage: _____%	If yes, specimen signature

Full name (including middle names) <i>(block capitals)</i>		Title	Residential Address		Director / Member?	Tel/mobile number
				<input type="checkbox"/> Yes <input type="checkbox"/> No
					Only sign if you're an Authorised Signatory
Occupation	Date of Birth / /	Male <input type="checkbox"/> Female <input type="checkbox"/>	Postcode		Are you a Beneficial owner/ Guarantor	Authorised Signatory? <i>(Please tick)</i>
				<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No
Resident <input type="checkbox"/> Non Res <input type="checkbox"/>	Country of Origin	Nationality	Time at Address?* Years _____ Months _____	Country of Residence	If yes, please state percentage: _____%	If yes, specimen signature

Full name (including middle names) <i>(block capitals)</i>		Title	Residential Address		Director / Member?	Tel/mobile number
				<input type="checkbox"/> Yes <input type="checkbox"/> No
					Only sign if you're an Authorised Signatory
Occupation	Date of Birth / /	Male <input type="checkbox"/> Female <input type="checkbox"/>	Postcode		Are you a Beneficial owner/ Guarantor	Authorised Signatory? <i>(Please tick)</i>
				<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No
Resident <input type="checkbox"/> Non Res <input type="checkbox"/>	Country of Origin	Nationality	Time at Address?* Years _____ Months _____	Country of Residence	If yes, please state percentage: _____%	If yes, specimen signature

Tax Residence

Section 3a. Ownership Structure

THESE ARE THE NAME(S) OF THE DIRECTOR(S) / MEMBERS / BENEFICIAL OWNER / GUARANTOR AND AUTHORISED SIGNATORIES WHERE APPROPRIATE WHO MAY CARRY OUT TRANSACTIONS AND GIVE INSTRUCTIONS ON THE ACCOUNT.

Full name (including middle names) <i>(block capitals)</i>		Title	Residential Address		Director / Member?	Tel/mobile number
				<input type="checkbox"/> Yes <input type="checkbox"/> No
					Only sign if you're an Authorised Signatory
Occupation	Date of Birth / /	Male <input type="checkbox"/> Female <input type="checkbox"/>	Postcode		Are you a Beneficial owner/ Guarantor	Authorised Signatory? <i>(Please tick)</i>
				<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No
Resident <input type="checkbox"/> Non Res <input type="checkbox"/>	Country of Origin	Nationality	Time at Address?* Years _____ Months _____	Country of Residence	If yes, please state percentage: _____%	If yes, specimen signature

Full name (including middle names) <i>(block capitals)</i>		Title	Residential Address		Director / Member?	Tel/mobile number
				<input type="checkbox"/> Yes <input type="checkbox"/> No
					Only sign if you're an Authorised Signatory
Occupation	Date of Birth / /	Male <input type="checkbox"/> Female <input type="checkbox"/>	Postcode		Are you a Beneficial owner/ Guarantor	Authorised Signatory? <i>(Please tick)</i>
				<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No
Resident <input type="checkbox"/> Non Res <input type="checkbox"/>	Country of Origin	Nationality	Time at Address?* Years _____ Months _____	Country of Residence	If yes, please state percentage: _____%	If yes, specimen signature

Full name (including middle names) <i>(block capitals)</i>		Title	Residential Address		Director / Member?	Tel/mobile number
				<input type="checkbox"/> Yes <input type="checkbox"/> No
					Only sign if you're an Authorised Signatory
Occupation	Date of Birth / /	Male <input type="checkbox"/> Female <input type="checkbox"/>	Postcode		Are you a Beneficial owner/ Guarantor	Authorised Signatory? <i>(Please tick)</i>
				<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No
Resident <input type="checkbox"/> Non Res <input type="checkbox"/>	Country of Origin	Nationality	Time at Address?* Years _____ Months _____	Country of Residence	If yes, please state percentage: _____%	If yes, specimen signature

Tax Residence

Section 4. Declaration & Signature

In accordance with the UK Money Laundering Regulations (as may be amended from time-to-time), we are legally required to verify your identity. If you cannot satisfactorily prove your identity, you may not be able to open an account with us.

As part of the verification process, we may ask you to provide us with documentary proof of your name and address. We may, at our discretion, conduct an identity check with regard to you or any officer of the Business with an independent agency at any time before opening an account for the Business. Any information provided to us or generated as a result of this process will be retained after the closure of the Business client account in accordance with applicable legal and regulatory requirements.

By signing and submitting this form, you, acting for and on behalf of the Company, or the representative of an unincorporated business, consent to the above and confirm that the information you provide in this form is accurate and has been completed to the best of your knowledge.

To confirm that you have the authority to act for and on behalf of, and to bind, the Business in all matters and dealing with us, and so that we are entitled to rely and act upon this confirmation, you are to provide a Board Resolution to confirm such authority.

A template Board Resolution can be provided on request.

These instructions will remain valid and we will be entitled to place reliance upon them unless and until you notify us otherwise in writing.

By signing this application, you are agreeing that we may share your information with relevant affiliates for the purpose of assessing you in the provision of services contemplated by this form. The following Terms & Conditions have been read, understood and agreed:

www.interpolitanmoney.com/terms-and-conditions

Optional Marketing Consent

I would like to receive Interpolitan Money insights, cross-border capital updates and invitations to events. I understand I can unsubscribe at any time.ts.

Interpolitan Money will process your personal data in accordance with our Privacy Notice available at:

www.interpolitanmoney.com/privacy-policy

I have provided a Board Resolution to confirm authority to sign

My signature will remain valid until revoked by my written notice to Interpolitan Money

I agree to be bound by the provisions of this form and the above Business & Dealing Terms

I will inform you immediately in writing of any changes to the details provided in this form

I/We agree with the fee schedule provided

Director 1
Name

Position

Signature

Date (DD / MM / YYYY)

Director 2

Name

Position

Signature

Date (DD / MM / YYYY)

Section 5. Self-Certification Common Reporting Standards (CRS) Entities

This form is provided to you to comply with regulations based on the Organisation for Economic Co-operation and Development (“OECD”) Common Reporting Standard (“CRS”) requiring Interpolitan Money Plc (a reporting Financial Institution) to report certain information about an account holder’s tax residency.

If the account holder or any of its Controlling Persons have a tax residence outside the United Kingdom, the personal and financial information may be reported by HM Revenue & Customs (“HMRC”) to the equivalent national tax authority in that relevant jurisdiction(s).

Please note that failure to complete this self-certification form or completing it in an erroneous or incomplete manner may lead to an inaccurate reporting of information. HMRC may impose personal liability on individuals completing the form and may apply penalties. This is set out in the International Tax Compliance (Amendment) Regulations.

Definitions can be found in the glossary attached hereto. If you still have any questions on how to complete this form or how to determine your tax residency status, please contact your tax advisor.

Part I – Tax Residence

Please provide below the list of ALL countries where you are a resident for tax purposes (*) and the associated Taxpayer Identification Number (“TIN”).

Please mark “applied for” in lieu of the TIN number in the column below, if you are a new incorporated company and have applied for a TIN (you will need to provide it to us within 90 days).

If however, a TIN is unavailable for another reason, please provide the appropriate reason A, B, or C where appropriate:

Country of Tax Residence	Tax-Payer Identification Number	If not TIN available enter reason A, B or C

Possible Reasons:

Reason A: the country/jurisdiction where the account holder is liable to pay does not issue TIN to its resident

Reason B: The account holder is otherwise unable to obtain a TIN or equivalent number (Please explain why it is the case if you have selected this reason)

Reason C: no TIN is required (Note: only select this reason if the authorities of the country/jurisdiction of tax residence entered below do not require the TIN to be disclosed).

Please explain in the following boxes why you are unable to obtain a TIN if you selected Reason B above.

Part II – CRS Status of Account Holder

1.

a. Financial Institution – Investment Entity

i. An Investment Entity located in a non-participating jurisdiction and managed by another Financial Institution

(Note: if ticking this box please also complete Annex 1)

ii. Other Investment Entity

b. Financial Institution – Depository Institution, Custodial Institution or Specified Insurance Company

If you have ticked a. or b. above, please provide, if held the account holder's global intermediary identification number ("GIIN") obtained for FATCA purposes.

c. Active NFE – a corporation the stock of which is regularly traded on an established securities market or a corporation which is a related entity of such corporation

If you have ticked (c), please provide the name of the established securities market on which the corporation is regularly traded:

If you are a Related Entity of a regularly traded corporation, please provide the name of the regularly traded corporation that the Entity in (c) is a Related Entity of:

d. Active NFE – a Government Entity or Central Bank

e. Active NFE – an International Organisation (for example United Nations or World Health Organisation)

f. Active NFE – other than c. – e. (for example a start-up NFE or non-profit NFE)

g. Passive NFE (Note: if ticking this box please also complete Annex 1)

Part III – Declaration & Signature

As a representative(s) authorised to sign on behalf of the entity, I declare that, to the best of my knowledge and belief, the information on this form is correct and complete. In particular, I confirm that the entity and its controlling person(s) are not tax residents in a country other than the one(s) listed.

I personally undertake to notify Interpolitan Money Plc in writing of any change affecting the declarations on this form and in Annex 1 within 30 days. Failing to do so, I may be held personally liable by Interpolitan Money Plc for any and every consequence of such changes.

The signatory(ies) acting in the name of and on behalf of the company undertake(s), in relation to Interpolitan Money, to respect all its declarative tax obligations to the relevant authorities in the country(ies) in which the entity is present, and, where required, commit(s) to paying all taxes and fees relating to all and any assets and revenues deposited at, or managed by, Interpolitan Money.

I declare to have obtained an express agreement from the controlling persons mentioned in Annex 1 to this form to process and disclose the information related to them to the tax authorities, in conformity with the

Interpolitan Money's Business Terms (available at www.interpolitanmoney.com) and its legal obligations of declaration as well as with the rules of identification and fight against money laundering and terrorism financing.

Please note that the form needs to be signed by all the legal representatives whose signature is necessary for the entity to engage with third parties.

Signature(s):

First name and last name:

Date:

Disclaimer:

Annex 1 has been provided as an ease of reference to assist you in understanding the terms used in this form.

If you are unsure about the meaning or implications of any terms in this form, we strongly recommend that you seek independent legal advice.

You are solely responsible for the accuracy of the information provided, and any incorrect or misleading declarations may result in liability to HMRC or other relevant authorities.

A jurisdiction list of CRS Committed Jurisdiction Can be found here:

<https://www.oecd.org/en/networks/global-forum-tax-transparency/resources/aeoi-implementation-portal/crs-by-jurisdiction.html>

Control over an Entity is generally exercised by the natural person(s) who ultimately has(have) a controlling ownership interest in the Entity. Where no natural person exercises control through ownership interests, the Controlling Person(s) of the Entity will be the natural person(s) who exercise(s) control of the Entity through other means. Where no natural person(s) is(are) identified as exercising control of the Entity, the Controlling Person(s) of the Entity will be the natural person(s) who hold(s) the position of senior managing official.

Controlling Persons are the natural persons who exercise control over an entity. This definition corresponds to the term “beneficial owner” as described in Recommendation 10 of the Financial Action Task Force (FATF) Recommendations (as adopted in February 2012) and as stated in the UK Money Laundering, Terrorist Financing and Transfer of Funds (Information on the Payer) Regulations 2017 (as amended). Each Passive NFE or Investment Entity resident in a non-CRS jurisdiction has at least one Controlling Person. Please refer to the Glossary for definitions and examples. In case there is any change affecting the information regarding the entity’s Controlling Person(s), a new self-certification will have to be provided within 30 days.

1) List all Controlling Persons (reportable or not reportable) in the table below:

1.

First name / surname:

Place of birth:

Date of birth:

Type of control: (Only tick one box)

Ownership (___%)

Other means

Senior managing official Function in the company:

Residence address and email address:

ALL countries of tax residence:

2.

First name / surname:

Place of birth:

Date of birth:

Type of control: (Only tick one box)

Ownership (___%)

Other means

Senior managing official Function in the company:

Residence address and email address:

ALL countries of tax residence:

3.

First name / surname:

Place of birth:

Date of birth:

Type of control: (Only tick one box)

Ownership (___%)

Other means

Senior managing official Function in the company:

Residence address and email address:

ALL countries of tax residence:

Should additional space be required for further ultimate beneficial owners, please make a copy of this page.

2) For any Controlling Person (reportable or not reportable) who has not been previously identified, you are required to provide us with:

2.a certified ID of all controlling persons,

3. an updated Beneficial Owner form, and

4. a structure chart dated and signed by legal representatives (except for Senior Managing Official).

Each natural person signing this Annex 1 expressly authorizes Interpolitan Money Group (i) to process his personal data in accordance with the relevant articles of Interpolitan Money's Privacy Notice available on www.interpolitanmoney.com and (ii) to disclose the relevant information to the competent tax authorities in accordance with Interpolitan Money's current legal obligations, including but not limited to CRS.

Individuals have a right of access, rectification, blocking, deletion and objection that may be used as stated in Interpolitan Money's Privacy Notice available on its website www.interpolitanmoney.com.

Annex I: Glossary

CRS: The Common Reporting Standard (CRS) is a standard proposed by the OECD for the automatic exchange of information to guarantee greater fiscal transparency.

CRS Jurisdiction: A jurisdiction that signed a Multilateral Competent Authority Agreement (MCAA), including all EU Member States.

Non-Participating CRS Jurisdiction: A jurisdiction not part of the EU and without an agreement for automatic exchange of financial information.

Financial Institution: Includes Depository Institution, Custodial Institution, Investment Entity, or Specified Insurance Company.

Reporting Financial Institution: Under CRS, this term corresponds to a Financial Institution that does not qualify as a Non-Reporting FI.

Non-Reporting Financial Institution: Includes certain retirement funds, pension funds, qualified credit card issuers, and other entities presenting low risk of tax evasion.

Investment entity resident in a non-CRS jurisdiction and managed by another Financial Institution: An investment entity not in a participating jurisdiction, managed by another Financial Institution.

NFE (Non-Financial Entity): Any entity that is not a Financial Institution.

Active NFE: An NFE meeting criteria such as active operations, holding/financing company of a non-financial group, start-up, entity in liquidation/reorganization, treasury centre, or non-profit.

Passive NFE: Any NFE that is not an Active NFE, or an Investment Entity in a non-CRS jurisdiction.

Passive income: Includes dividends, interest, rents, royalties, annuities, gains from financial assets, foreign currency gains, net income from swaps, and amounts from insurance contracts.

Publicly listed company: Stock traded on a regulated financial market.

Related Entity: Entities controlling each other or under common control (direct/indirect ownership of more than 50%).

Control: Exercised by natural persons with controlling ownership interest, or through other means, or by senior managing officials.

Controlling Person: The natural person who exercises control over an entity, corresponding to the "beneficial owner" as described in FATF Recommendation 10.

Reportable Person: Any person that is a tax resident outside the United Kingdom..

Tax resident: Determined by local tax law, may include domicile, place of incorporation, or nationality. TIN (Taxpayer Identification Number): Used for tax administration purposes; if unavailable, a functional equivalent may be provided.

TIN (Taxpayer Identification Number): Used for tax administration purposes; if unavailable, a functional equivalent may be provided.



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Interpolitan Money Plc is authorised and regulated by the Financial Conduct Authority ("FCA") to issue electronic money under the Electronic Money Regulations 2011. FRN 900413. Forward contracts and associated credit facilities are not regulated by the FCA. Interpolitan Money Plc registered office address 2 Leman Street, London, England, E1W 9US, a company incorporated under the laws of England and Wales, registration number 07666629. Interpolitan Money Canada Inc is registered as a Money Business Service ("MSB") with the Financial Transactions and Reports Analysis Centre ("FINTRAC"). Our registration number is C1000001

65. Interpolitan Money (IFSC) Private Ltd, Interpolitan Money Mauritius Limited and Interpolitan Money (DIFC) Limited are part of Interpolitan Money Group.

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